



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/938,766	08/24/2001	Peter Geoffrey Burton	205,284

CONFIRMATION NO. 2916

## FORMALITIES LETTER



\*OC000000006794323\*

ABELMAN, FRAYNE & SCHWAB  
150 East 42nd Street  
New York, NY 10017

Date Mailed: 09/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

  
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PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/11/2001 SMINASS1 00000051 09938766

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10-09-01

Section 2

PATENT DOCKET 205,284

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT: BURTON

EXAMINER:

SERIAL NO.: 09/938,766

ART UNIT.: 2164

FILED: August 24, 2001

TITLE: FINANCIAL INSTRUMENTS

DATE: October 4, 2001

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

Hon. Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

SIR:

**STATEMENT OF FILING BY EXPRESS MAIL 37 C.F.R. § 1.10**

This correspondence is being deposited with the United States Postal Service on October 4, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EF 174 014 662 US addressed to the Honorable Commissioner for Patents, Washington, D.C. 20231.

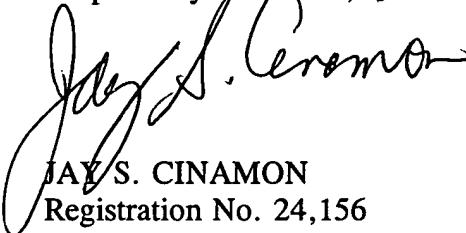


REMARKS

In response to the Notice to File Missing Parts of Nonprovisional Application of September 26, 2001, copy enclosed, enclosed herewith please find an executed Declaration/Power of Attorney. Also enclosed herewith please find a check in the amount of \$65.00 to cover the surcharge for the late filing of the Declaration by a Small Entity.

Please charge any other fees which may be due to our Deposit Account No. 01-0035.

Respectfully submitted, . . .

  
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